

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(only for new nonprovisional applications under 37 CFR 1.53(b))



ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

**BOX: PATENT APPLICATION**

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, **23 pages**) of:

Inventor(s): **Scott S. Campbell and Patricia J. Murphy**

For : **NON-OCULAR CIRCADIAN CLOCK RESETTING IN HUMANS**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ continuation ☒ divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No.: **09/074,455**

Prior application information: Examiner : **Kelly O'Hara**  
Art Unit : **3738**

Enclosed are:

- ☒ **8 sheets** of informal drawings as filed in the prior application.
- ☒ **7 sheets** of formal drawings as filed in the prior application.
- ☐ **Signed** Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- ☒ **Copy of signed** Combined Declaration and Power of Attorney (**2 pages**) from a prior application (1.63(d) (for continuation/divisional).
- ☒ **Supplemental** Combined Declaration and Power of Attorney (**2 pages**) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☒ Assignment (**1 page**) of the invention to **Cornell Research Foundation, Inc.** as filed in the prior application.
- ☒ Assignment Transmittal Letter as filed in the prior application.
- ☐ Certified copy of a foreign priority document.

- ☐ Associate power of attorney.
- ☒ Verified statement to establish small entity status (**2 pages**) (newly signed or copy filed in prior application).
- ☒ Preliminary Amendment (**2 pages**).
- ☒ Information Disclosure Statement, form PTO-1449 (**5 pages**) and **0 references**.
- ☐ **UNSIGNED** Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.

The Filing fee has been calculated as shown below:

| (Col. 1)  |           | (Col. 2)  | SMALL ENTITY |       |    | LARGE ENTITY |       |
|---|-----------|-----------|--------------|-------|----|--------------|-------|
| FOR:  | NO. FILED | NO. EXTRA | RATE         | FEE   | OR | RATE         | FEE   |
| BASIC FEE   | XXXXXXXX  | XXXXXXXX  | XXXX         | \$345 | OR | XXXX         | \$690 |
| TOTAL CLAIMS  | 13 - 20 = | 0         | x 9 =        | \$ 0  | OR | x 18 =       | \$    |
| INDEP CLAIMS  | 2 - 3 =   | 0         | x 39 =       | \$ 0  | OR | X78 =        | \$    |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED |           |           | x130 =       | \$ 0  | OR | x260 =       | \$    |
|   |           |           | TOTAL        | \$345 | OR | TOTAL        | \$    |

\*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$345.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

Gunnar G. Leinberg, Esq.  
NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603

Date: Sept. 6, 2000

Gunnar Leinberg  
Gunnar G. Leinberg  
Registration No. 35,584

NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603  
Telephone: (716) 263-1014  
Facsimile: (716) 263-1600

**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : 19603/1656  
APPLICANT(S) : Scott S. Campbell and Patricia J. Murphy  
TITLE : NON-OCULAR CIRCADIAN CLOCK RESETTING IN HUMANS

Certificate is attached to the **Divisional Utility Patent Application Transmittal Letter (2 pages) in duplicate** of the above-named application.

"EXPRESS MAIL" NUMBER: EL640135926US  
DATE OF DEPOSIT: September 6, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 **Box Patent Application.**

**Jo Ann Whalen**

(Typed or printed name of person mailing paper or fee)

*Jo Ann Whalen*

(Signature of person mailing paper or fee)

**EXPRESS MAIL CERTIFICATE**


DOCKET NO. : **19603/1656**  
APPLICANT(S) : **Scott S. Campbell and Patricia J. Murphy**  
TITLE : **NON-OCULAR CIRCADIAN CLOCK RESETTING IN HUMANS**

Certificate is attached to the **Copy of the Utility Patent Application which included Specification, Claims, and Abstract (23 pages) and Signed Combined Declaration and Power of Attorney (2 pages) as filed in the prior application of the above-named application.**

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**Jo Ann Whalen**  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

jc813 U.S. PTO  
09/656409  
09/06/00

### EXPRESS MAIL CERTIFICATE

DOCKET NO. : 19603/1656  
APPLICANT(S) : Scott S. Campbell and Patricia J. Murphy  
TITLE : NON-OCULAR CIRCADIAN CLOCK RESETTING IN HUMANS

Certificate is attached to the **Copies of the Informal Drawings (8 pages)** as filed in the prior application of the above-named application.

"EXPRESS MAIL" NUMBER: EL640135926US  
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Jo Ann Whalen  
(Typed or printed name of person mailing  
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#3 AR  
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jc813 U.S. PTO  
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DOCKET NO. : 19603/1656  
APPLICANT(S) : Scott S. Campbell and Patricia J. Murphy  
TITLE : NON-OCULAR CIRCADIAN CLOCK RESETTING IN HUMANS

Certificate is attached to the **Information Disclosure Statement (1 page)** and **PTO-1449 Form (4 pages)** of the above-named application.

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**EXPRESS MAIL CERTIFICATE**

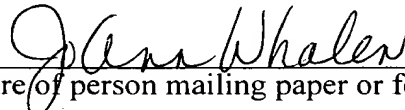
DOCKET NO. : **19603/1656**  
APPLICANT(S) : **Scott S. Campbell and Patricia J. Murphy**  
TITLE : **NON-OCULAR CIRCADIAN CLOCK RESETTING IN HUMANS**

Certificate is attached to the **Preliminary Amendment (2 pages)** of the above-named application.

"EXPRESS MAIL" NUMBER: **EL640135926US**  
DATE OF DEPOSIT: **September 6, 2000**

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